

1 We thank the reviewers for their careful consideration and their feedback, our short replies are provided below.

2 **General response for Reviewers 1 & 2.** -“Can the results be extended to convex loss functions?”.

3 **Response:** We studied the convergence analysis of our proposed method for strongly-convex and non-convex settings
4 in the paper. Indeed, we can extend our results to the convex case by choosing the stepsizes $(\alpha, \varepsilon) = (T^{-\delta/4}, T^{-3\delta/4})$

5 which will lead to a sublinear rate of $f(\frac{1}{T} \sum_{t=1}^T \bar{\mathbf{x}}_t) - f^* \leq \mathcal{O}(T^{-\delta/2})$ for any $\delta \in (0, 1/2)$. Here is a sketch of the
6 proof. Note that our approach to prove the convergence in the strongly-convex case was two-folded: to show that (1) the
7 sequence of our method \mathbf{x}_t converges to the optimizer of the penalty function \mathbf{x}_α^* ; and (2) \mathbf{x}_α^* converges to the global
8 optimizer \mathbf{x}^* . Similarly in eq. (20) but for convex loss, we have $2(h_\alpha(\mathbf{x}_t) - h_\alpha^*) \leq \varepsilon^{-1} \mathbb{E} \|\mathbf{x}_t - \mathbf{x}_\alpha^*\|^2 - \varepsilon^{-1} \mathbb{E} \|\mathbf{x}_{t+1} -$
9 $\mathbf{x}_\alpha^*\|^2 + \varepsilon \mathbb{E} \|\tilde{\nabla} h_\alpha(\mathbf{x}_t)\|^2$. Together with eq. (21), we can simplify the previous telescopic sum and conclude the
10 convergence of $h_\alpha(\frac{1}{T} \sum_{t=1}^T \mathbf{x}_t) - h_\alpha^*$. Moreover, for the picked stepsizes, we can use the proof of standard SGD for
11 convex losses and show that $\alpha^{-1} h_\alpha^* \rightarrow f^*$, as well $\alpha^{-1} h_\alpha(\frac{1}{T} \sum_{t=1}^T \mathbf{x}_t) \rightarrow f(\frac{1}{T} \sum_{t=1}^T \bar{\mathbf{x}}_t)$, all at rate $\mathcal{O}(T^{-\delta/2})$.

12 **Reviewer 1.** -“Could you remove the assumption that variance of quantization $\leq \sigma^2$ and allow it grow with $\|\mathbf{x}\|^2$?”.

13 **Response:** Yes! For both strongly-convex and non-convex losses, we can assume that $\mathbb{E} \|Q(\mathbf{x}) - \mathbf{x}\|^2 \leq \sigma^2 \|\mathbf{x}\|^2$ and
14 modify the proof as follows. For strongly-convex, in eq. (21) we’ll have $\mathbb{E} \|\mathbf{z}_t - \mathbf{x}_t\|^2 \leq \sigma^2 \|\mathbf{x}_t\|^2 \leq 2\sigma^2 \|\mathbf{x}_t - \mathbf{x}_\alpha^*\|^2 +$
15 $2\sigma^2 \|\mathbf{x}_\alpha^*\|^2$. The first term $\|\mathbf{x}_t - \mathbf{x}_\alpha^*\|^2$ can be simply merged in eq. (22); and the second term can be bounded as
16 $\|\mathbf{x}_\alpha^*\|^2 \leq 2\|\mathbf{x}_\alpha^* - \mathbf{x}^*\|^2 + 2\|\mathbf{x}^*\|^2$ where $\|\mathbf{x}_\alpha^* - \mathbf{x}^*\|^2$ decays by $\mathcal{O}(T^{-\delta})$ and $\|\mathbf{x}^*\|^2 \leq 2(f(0) - f^*)/\mu$. For non-convex
17 settings, we can follow the proof in the paper and replace $\mathbb{E} \|\mathbf{z}_t - \mathbf{x}_t\|^2 \leq \sigma^2 \|\mathbf{x}_t\|^2 \leq 2\sigma^2 \|\mathbf{x}_t - \bar{\mathbf{x}}_t\|^2 + 2\sigma^2 \|\bar{\mathbf{x}}_t\|^2$ in
18 eq. (37). The first term is consensus error and will be merged in T_1 in eq. (39). The second term can be bounded
19 by noting that the function value decreases at each iteration and considering the typical assumption that $f(\mathbf{x}) \rightarrow \infty$
20 when $\|\mathbf{x}\| \rightarrow \infty$. On the other hand, quantizers satisfying $\mathbb{E} \|Q(\mathbf{x}) - \mathbf{x}\|^2 \leq \sigma^2$ are indeed common in both theory and
21 practice; e.g., the low-precision quantizer, randomly rounding operator, quantization sparsifier studied in ref. [61].

22 -“Eq 10 should include the cost of computing the average iterate since in this paper, communication time is a bottleneck.”.

23 **Response:** Computing the average iterate contains vector-scalar multiplication and vector-vector addition; however, it
24 is known in systems literature that these are negligible and the dominant computation cost/time is induced by matrix
25 multiplication (e.g. gradient computation for least-squares) which we have modeled in the paper. Moreover, eq. (10)
26 characterizes the convergence rate vs. iterations (and not wall-clock time).

27 -“Explain the difference between deadline-based & asynchronous”.

28 **Response:** The top figure schematically shows the differences between
29 deadline-based and asynchronous methods. In particular, in Asynchronous
30 DSGD, each worker continuously updates its local model according to the
31 most recent models of its neighbours, while in our deadline-based method,
32 each worker computes a batched gradient by the deadline T_d (with a
33 random size depending on the speed) and then updates synchronously with
34 other workers. We will add this discussion in the revised paper.

35 **Reviewer 3.** -“Experiments are too simple to show the efficacy of the
36 method, merely MNIST/CIFAR10. Size of the neural network is too small.”.

37 **Response:** We have conducted more experiments over the ImageNet
38 dataset which is known as a complicated dataset. As the middle figure
39 demonstrates, for a binary classification, our method significantly im-
40 proves upon the benchmarks over this dataset as well. We also carried
41 out experiments on a deeper neural network with 4 hidden layers and
42 our method provides significant speedups over the benchmarks (bottom
43 figure). This further demonstrates that our method is less sensitive to the
44 dataset or the neural network. Nevertheless, we would like to highlight that
45 our focus in this paper is to develop the *theory* of a provably converging,
46 straggler-resilient and communication-efficient framework.

47 -“Whats the activation function for the fully connected neural network?
48 If the ReLU activation was used, the tested loss function is not smooth.”

49 **Response:** In all experiments, a sigmoid function is used as the activation
50 function for the neural network which makes the loss function smooth and
51 hence compatible with the theory. Extending our results to the nonsmooth
52 losses (e.g. ReLU) is our future direction.

53 -“First order stochastic methods are sensitive to learning rate. The authors should report results with well-tuned rates.”.

54 **Response:** All the numerical results in our original submission indeed correspond to well-tuned learning rates; we will
55 highlight this point in the revised paper.

